

Unsupervised Learning & & Generative Adversarial Networks

DL4DS – Spring 2025



April/May Dates

Sunday	Monday	Tuesday	Wednesday Thursday		Friday	Saturday
		April 1	2	3 Xformers Part 2	4	5
6	7	8 Industry Talk	9	10 Improving LLMs	11	12
13	14	15 GANs	16	17 VAEs	18	19
20	21	22 Diffusion Models	23	24 Graph NNs	25	26
27	28	29 ★ Project Presentations 1 ★	30	May 1 ★ Project Presentations 2★	2	3
4	5 Project Reports Due	6	7 Finals Week	8	8	10

Project Presentations

Looking for volunteers for April 29.
Then I will randomly draw remainder of April 29 spots.

April 29 – 75 minutes

- Slot 1:
- Slot 2:
- Slot 3:
- Slot 4:
- Slot 5:
- Slot 6:
- Slot 7:
- Slot 8:
- Slot 9:
- Slot 10:
- Slot 11:

Format:

 \leq 5 minutes presentation, video or combo

~1 minute Q&A

May 1 - 75 minutes

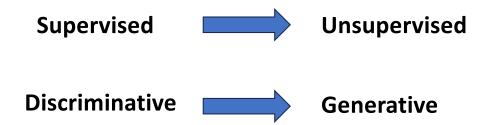
- Slot 12:
- Slot 13:
- Slot 14:
- Slot 15:
- Slot 16:
- Slot 17:
- Slot 18:
- Slot 19:
- Slot 20:
- Slot 21:
- Slot 22:
- Slot 23:

Homework Announcement

- For the last 2 weeks of lectures, homework is self-practice.
- Do end-of-chapter exercises
 - Self-check from student answer book
 - See TA or instructor if you attempt other questions without solutions and need confirmation
- Do UDL notebooks at https://udlbook.com. See TA or instructor if you get stuck.
- I'll make recommendations for each remaining lecture.

Up to this point...

- we looked at discriminative supervised learning models
- Exceptions:
 - Transformers pretrained *unsupervised* (then usually finetuned *supervised*)
 - and the Transformer decoder which *generated* text



Supervised vs. Self/Unsupervised Learning

Supervised Learning

Data: (x, y)

x is data, y is a label

Goal: Learn function to map

 $x \rightarrow y$

Applications: Classification, regression, object detection, semantic segmentation, etc.

Self/Unsupervised Learning

Data: x

x is data, no labels!

Goal: Learn the hidden or underlying structure of the data.

Applications: Clustering, dimensionality reduction, compression, find outliers, generating new examples, denoising, interpolating between data points, etc.

Supervised vs. Self/Unsupervised Learning

Supervised Learning

Data: (x, y)

x is data, y is a label

Goal: Learn function to map

$$x \rightarrow y$$

Applications: Classification, regression, object detection, semantic segmentation, etc.

Self/Unsupervised Learning

Data: x

x is data, no labels! Or labels part of the data

Goal: Learn the hidden or underlying structure of the data.

Applications: Clustering, dimensionality reduction, compression, find outliers, generating new examples, denoising, interpolating between data points, etc.

We'll consider two attributes of models

• Probabilistic Models

• Latent Variable Models

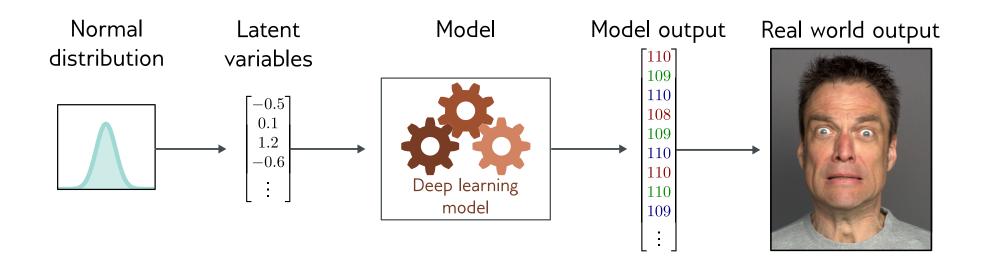
Probabilistic models

Maximize log likelihood of training data

$$\hat{\phi} = \underset{\phi}{\operatorname{argmax}} \left[\sum_{i=1}^{I} \log[\Pr(x_i | \phi)] \right]$$

• Find the parameters, ϕ , of some parametric probability distribution so that the training data is most likely under that distribution

Latent variable models

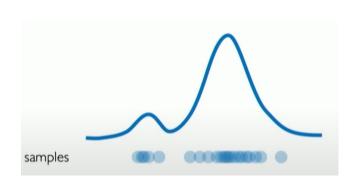


Latent variable models map a random "latent" variable to create a new data sample

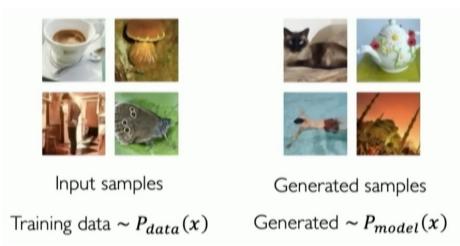
Generative Modeling

Goal: Take as input training samples from some distribution and learn a model that represents that distribution

Probability Density Estimation



Sample Generations



How can we learn $P_{model}(x)$ similar to $P_{data}(x)$?

Types of unsupervised generative model

- Generative adversarial networks (GANs) (LV)
- Variational auto-encoders (VAEs) (P, LV)
- Diffusion models (P, LV)
- Normalizing flows (P, LV)
- Energy models (P)
- Autoregressive models (P)

Decoder model: GPT3

- One job: predict the next word in a sequence
- More formally builds an autoregressive probability model

$$Pr(t_1, t_2, \dots t_N) = Pr(t_1) \prod_{n=2}^{N} Pr(t_n | t_1 \dots t_{n-1})$$

- Doesn't use latent variables, but is probabilistic and generative
 - Can generate new examples
 - Can assign a probability to new data

Why generative models? Debiasing

Capable of uncovering underlying features in a dataset

VS



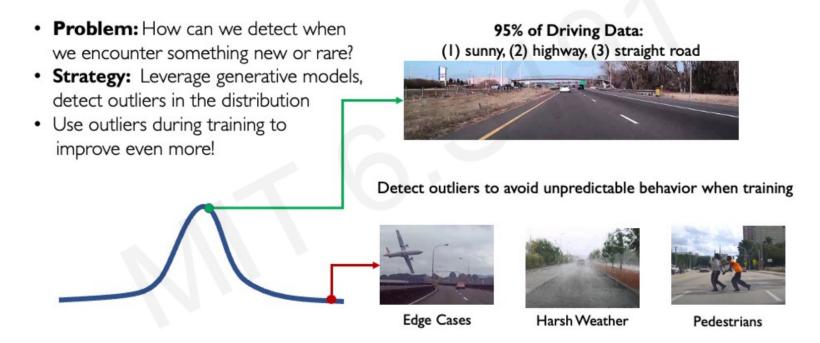
Homogeneous skin color, pose



Diverse skin color, pose, illumination

How can we use this information to create fair and representative datasets?

Why generative models? Outlier detection



More outlier examples



Image/Video/Music Generation (Circa Spring '25)



A teenage superhero fighting crime in an urban setting shown in the style of claymation.

https://sora.com



Write a short pop song about students wanting to learn about neural networks and do great things with them.

Why generative models? image, video and audio creation *Circa Spring 2024*



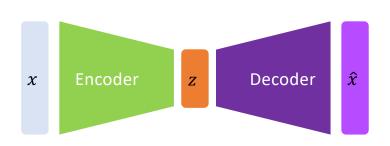
A teenage superhero fighting crime in an urban setting shown in the style of claymation.



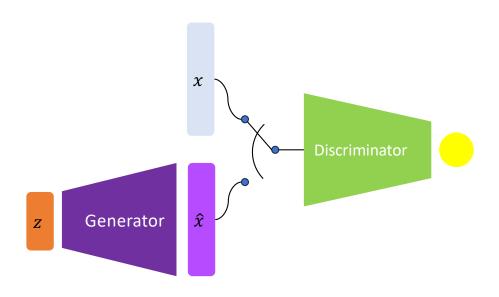
Write a short pop song about students wanting to learn about neural networks and do great things with them.

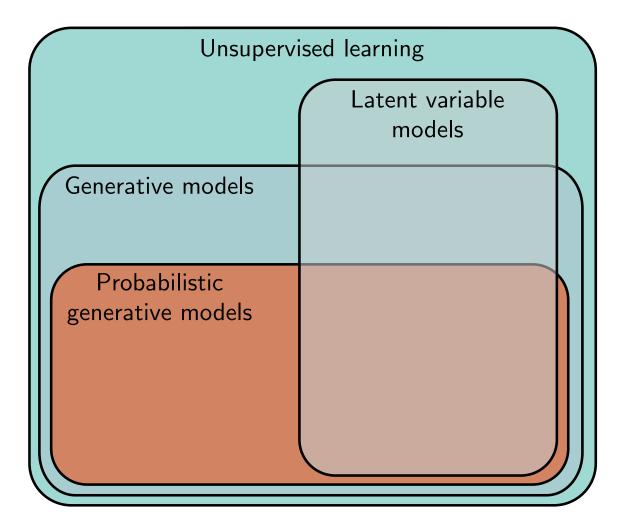
Latent Variable Models

Autoencoders and Variational Autoencoders (VAEs)



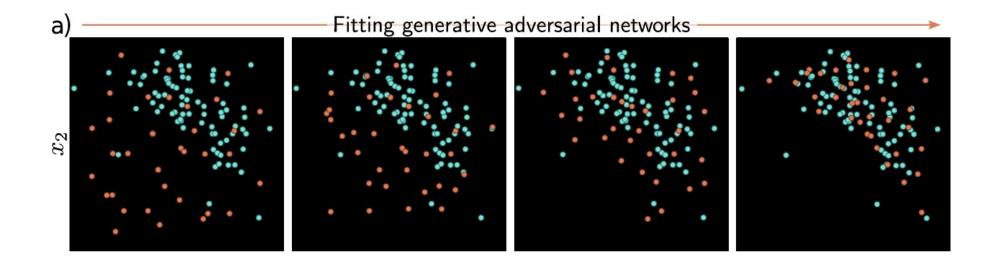
Generative Adversarial Networks

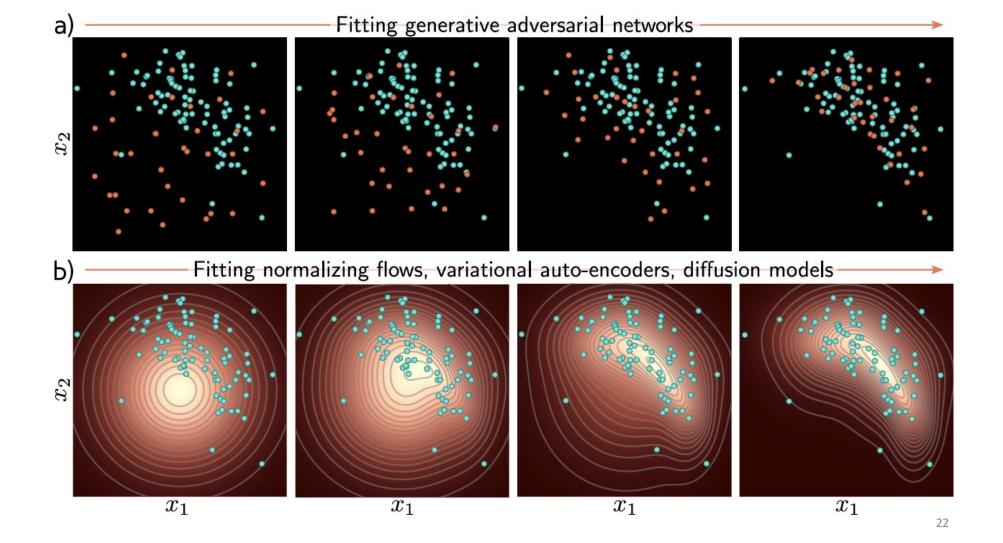




Generative = can generate new examples

Probabilistic = can assign probability to data examples





What makes a good model?

- **Efficient sampling:** Generating samples from the model should be computationally inexpensive and take advantage of the parallelism of modern hardware.
- **High-quality sampling:** The samples should be indistinguishable from the real data that the model was trained with.
- **Coverage:** Samples should represent the entire training distribution. It is insufficient to only generate samples that all look like a subset of the training data.
- Well-behaved latent space: Every latent variable z should correspond to a plausible data example x and smooth changes in z should correspond to smooth changes in x.
- Interpretable latent space: Manipulating each dimension of z should correspond to changing an interpretable property of the data. For example, in a model of language, it might change the topic, tense or degree of verbosity.
- Efficient likelihood computation: If the model is probabilistic, we would like to be able to calculate the probability of new examples efficiently and accurately

Do we have good models?

Model	Efficient	Sample quality	Coverage	Well-behaved latent space	Disentangled latent space	Efficient likelihood
GANs	✓	✓	X	✓	?	n/a
VAEs	\checkmark	×	?	✓	?	X
Flows	\checkmark	X	?	✓	?	\checkmark
Diffusion	X	\checkmark	?	×	×	X

How to measure performance within or between categories?

• Open research area.

Generative Adversarial Networks

"Generative adversarial networks", Goodfellow et al

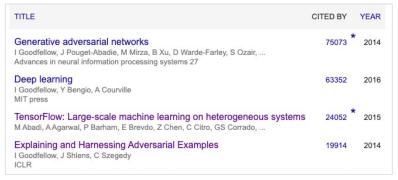


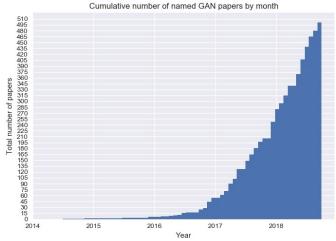
Ian Goodfellow

PhD ML, U de Montréal 2014

- Google (TensorFlow, Google Brain)
- OpenAl
- Google Staff/Sr. Staff Research Scientist
- Apple Director of ML
- Google Deep Mind, Research Scientist

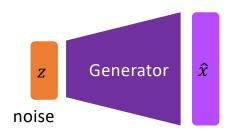






The GAN Zoo (Github)

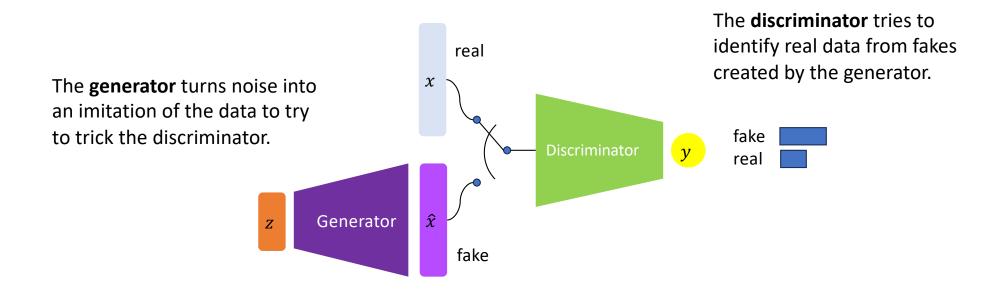
General Idea of GANs



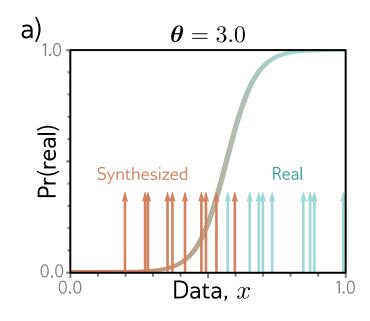
- Don't try to build a probability model directly
- Learn a transformation from a sample of noise to look indistinguishable from real data
- The distribution of generated sample should match the training data distribution

Generative Adversarial Networks

Train a generative model to try to fool a "discriminator" model.

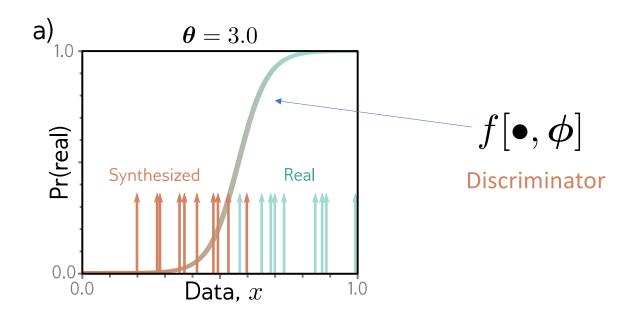


$$x_j^* = g[z_j, \theta] = z_j + \theta$$



- We take examples from a real distribution (e.g. shifted standard gaussian)
- We generate synthesized samples, z_j , from a standard gaussian and shift by θ .
- Train a classifier on the data

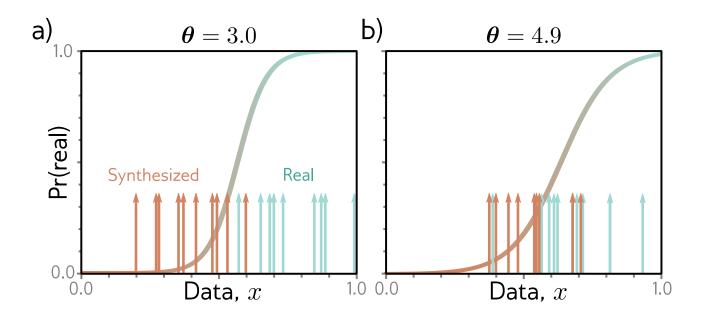
$$x_j^* = g[z_j, \theta] = z_j + \theta$$



- Train the discriminator
- ullet using logistic regression parameterized by ϕ
- as a binary classifier on the data

• e.g.
$$\begin{cases} \text{real if } f[\cdot] \geq .5 \\ \text{fake if } f[\cdot] < .5 \end{cases}$$

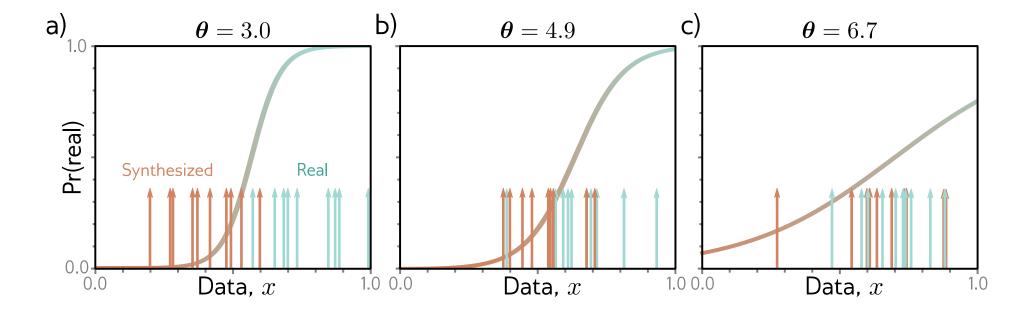
$$x_j^* = g[z_j, \theta] = z_j + \theta$$



- Train the **generator** to update θ in order to *increase* the loss on the discriminator
- Then train the discriminator to decrease the loss

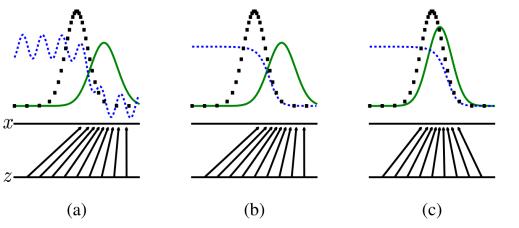
$$x_j^* = g[z_j, \theta] = z_j + \theta$$

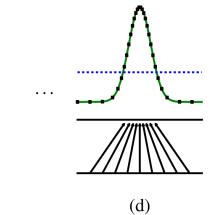
 Keep repeating till the discriminator does no better than random chance



- Complete UDL notebook 15.1 GAN Toy Example
- In-class example.

Trained to completion





- z: uniform latent variable
- x: samples according to a (green solid) generative distribution
- o black dotted curve: real data distribution
- blue dashed curve: discriminator

4.1 Global Optimality of $p_g = p_{\text{data}}$

We first consider the optimal discriminator D for any given generator G.

Proposition 1. For G fixed, the optimal discriminator D is

$$D_G^*(oldsymbol{x}) = rac{p_{data}(oldsymbol{x})}{p_{data}(oldsymbol{x}) + p_g(oldsymbol{x})}$$

GANs

- GAN loss function
- DCGAN results and problems
- Tricks for improving performance
- Conditional GANs
- Image translation models

GAN cost function

Discriminator uses standard cross entropy loss (see Section 5.4 – binary classification loss): :

$$\hat{\boldsymbol{\phi}} = \underset{\boldsymbol{\phi}}{\operatorname{argmin}} \left[\sum_{i} -(1 - y_i) \log \left[1 - \operatorname{sig}[f[\mathbf{x}_i, \boldsymbol{\phi}]] \right] - y_i \log \left[\operatorname{sig}[f[\mathbf{x}_i, \boldsymbol{\phi}]] \right] \right]$$

GAN cost function

Discriminator uses standard cross entropy loss (see Section 5.4 – binary classification loss):

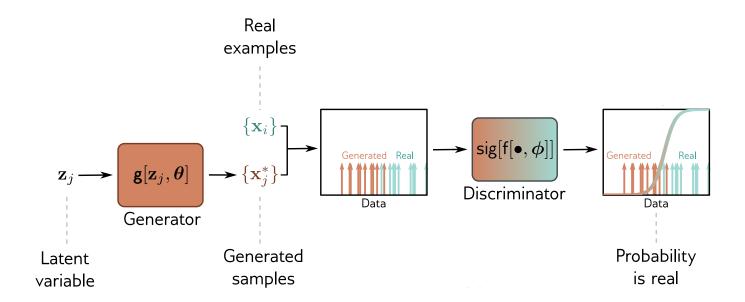
$$\hat{\boldsymbol{\phi}} = \underset{\boldsymbol{\phi}}{\operatorname{argmin}} \left[\sum_{i} -(1 - y_i) \log \left[1 - \operatorname{sig}[f[\mathbf{x}_i, \boldsymbol{\phi}]] \right] - y_i \log \left[\operatorname{sig}[f[\mathbf{x}_i, \boldsymbol{\phi}]] \right] \right]$$

Generated samples, \mathbf{x}_i^* , $y_i = 0$, and for real examples, \mathbf{x}_i , $y_i = 1$:

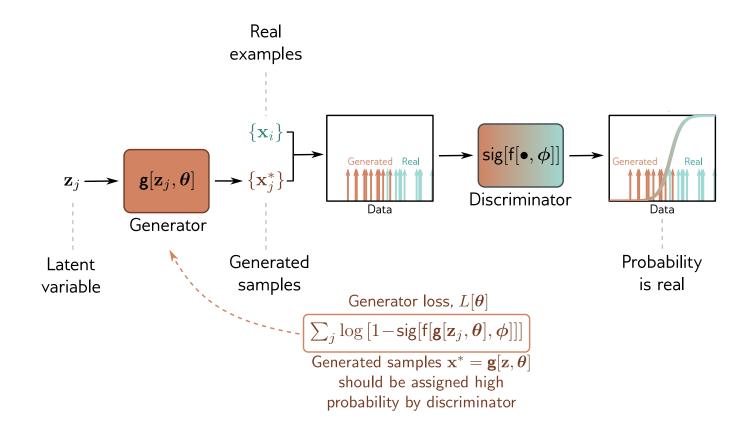
$$\hat{\phi} = \operatorname*{argmin} \left[\sum_{j} - \log \left[1 - \operatorname{sig}[f[\mathbf{x}_{j}^{*}, \phi]] \right] - \sum_{i} \log \left[\operatorname{sig}[f[\mathbf{x}_{i}, \phi]] \right] \right]$$
 These are *generated* samples so $y_{j} = 0$ These are *real* samples so $y_{i} = 1$

We can separate into two summations that separately index over the generated samples and the real samples.

GAN loss function

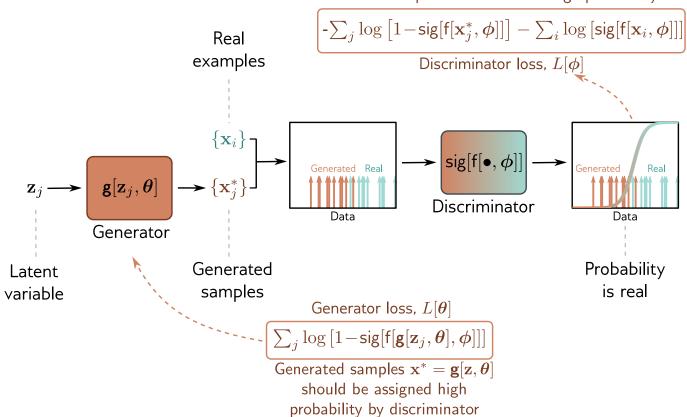


GAN loss function



GAN loss function

Generated samples \mathbf{x}^* should have low probability Real examples \mathbf{x} should have high probability



GAN cost function

Discriminator uses standard cross entropy loss:

$$\hat{\boldsymbol{\phi}} = \underset{\boldsymbol{\phi}}{\operatorname{argmin}} \left[\sum_{i} -(1 - y_i) \log \left[1 - \operatorname{sig}[f[\mathbf{x}_i, \boldsymbol{\phi}]] \right] - y_i \log \left[\operatorname{sig}[f[\mathbf{x}_i, \boldsymbol{\phi}]] \right] \right]$$

Discriminator: generated samples, y = 0, real examples, y = 1:

$$\hat{\boldsymbol{\phi}} = \underset{\boldsymbol{\phi}}{\operatorname{argmin}} \left[\sum_{j} -\log \left[1 - \operatorname{sig}[f[\mathbf{x}_{j}^{*}, \boldsymbol{\phi}]] \right] - \sum_{i} \log \left[\operatorname{sig}[f[\mathbf{x}_{i}, \boldsymbol{\phi}]] \right] \right]$$

Generator loss: make generated samples more likely under discriminator (i.e. make discriminator loss larger)

$$\hat{\boldsymbol{\phi}}, \hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{argmax}} \left[\underset{\boldsymbol{\phi}}{\operatorname{argmin}} \left[\sum_{j} -\log \left[1 - \operatorname{sig}[f[\mathbf{g}[\mathbf{z}_{j}, \boldsymbol{\theta}], \boldsymbol{\phi}]] \right] - \sum_{i} \log \left[\operatorname{sig}[f[\mathbf{x}_{i}, \boldsymbol{\phi}]] \right] \right] \right]$$

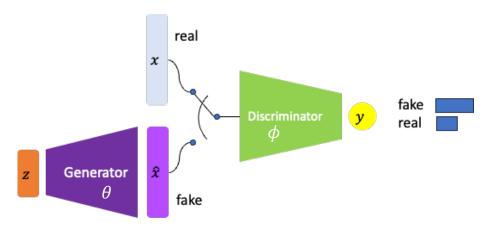
substituted the generator function for the generated sample

GAN Cost function

$$\hat{\boldsymbol{\phi}}, \hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{argmax}} \left[\underset{\boldsymbol{\phi}}{\operatorname{argmin}} \left[\sum_{j} - \log \left[1 - \operatorname{sig}[f[\mathbf{g}[\mathbf{z}_{j}, \boldsymbol{\theta}], \boldsymbol{\phi}]] \right] - \sum_{i} \log \left[\operatorname{sig}[f[\mathbf{x}_{i}, \boldsymbol{\phi}]] \right] \right] \right]$$

The **discriminator** parameters, ϕ , are manipulated to *minimize* the loss function

The **generator** parameters, θ , are manipulated to *maximize* the loss function.



GAN Cost function

$$\hat{\boldsymbol{\phi}}, \hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{argmax}} \left[\underset{\boldsymbol{\phi}}{\operatorname{argmin}} \left[\sum_{j} - \log \left[1 - \operatorname{sig}[f[\mathbf{g}[\mathbf{z}_{j}, \boldsymbol{\theta}], \boldsymbol{\phi}]] \right] - \sum_{i} \log \left[\operatorname{sig}[f[\mathbf{x}_{i}, \boldsymbol{\phi}]] \right] \right] \right]$$

The **discriminator** parameters, ϕ , are manipulated to *minimize* the loss function

The **generator** parameters, θ , are manipulated to *maximize* the loss function.

Can divide into two parts:

$$\textbf{discriminator loss:} \quad L[\boldsymbol{\phi}] = \sum_{j} -\log \Big[1 - \mathrm{sig}[\mathrm{f}[\mathbf{g}[\mathbf{z}_{j}, \boldsymbol{\theta}], \boldsymbol{\phi}]]\Big] - \sum_{i} \log \Big[\mathrm{sig}[\mathrm{f}[\mathbf{x}_{i}, \boldsymbol{\phi}]]\Big]$$

negated generator loss:
$$L[m{ heta}] = \sum_j \log \Bigl[1 - \mathrm{sig}[\mathrm{f}[\mathbf{g}[\mathbf{z}_j, m{ heta}], m{\phi}]]\Bigr]$$

The 2nd term is constant w.r.t. θ (gradient $\partial \mathcal{L}/\partial \theta = 0$) so we can drop it)

GAN Solution

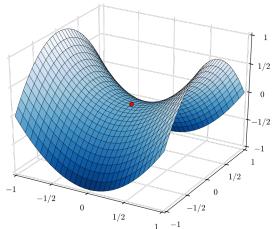
$$\hat{\boldsymbol{\phi}}, \hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{argmax}} \left[\underset{\boldsymbol{\phi}}{\operatorname{argmin}} \left[\sum_{j} -\log \left[1 - \operatorname{sig}[f[\mathbf{g}[\mathbf{z}_{j}, \boldsymbol{\theta}], \boldsymbol{\phi}]] \right] - \sum_{i} \log \left[\operatorname{sig}[f[\mathbf{x}_{i}, \boldsymbol{\phi}]] \right] \right] \right]$$

- The solution is the Nash equilibrium
- OIt lays at a saddle point
- ols inherently unstable

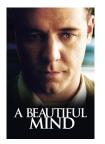
Nash equilibrium

In game theory, the Nash equilibrium, named after the mathematician John Nash, is the most common way to define the solution of a non-cooperative game involving two or more players.

...each player is assumed to know the equilibrium strategies of the other players, and no one has anything to gain by changing only one's own strategy. <u>Wikipedia</u>







GAN Training Flow Pseudo Python

```
for c_gan_iter in range(n_gan_iters): # GAN Iterations

# Run generator to produce synthesized data
x_syn = generator(z, theta)

# Update/train the discriminator
phi = update_discriminator(x_real, x_syn, n_iter_discrim, phi)

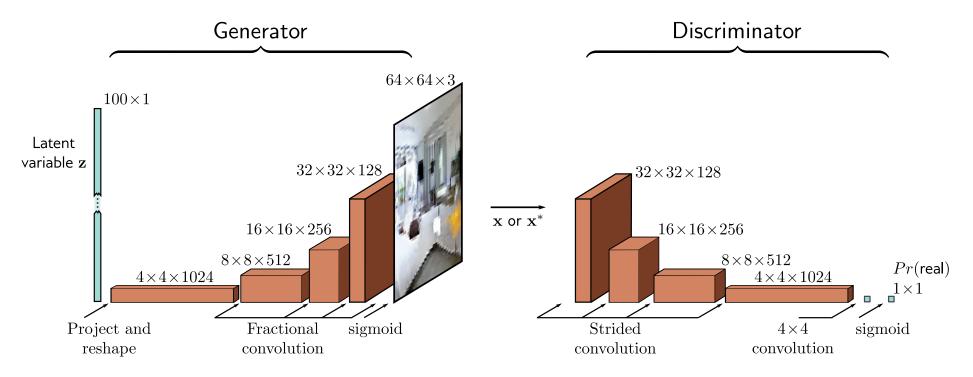
# Update/train the generator
theta = update_generator(z, theta, n_iter_gen, phi)
```

GANs

- GAN loss function
- DCGAN results and problems
- Tricks for improving performance
- Conditional GANs
- Image translation models

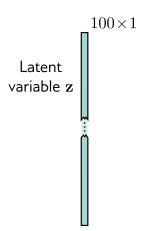
Deep Convolutional (DC) GAN

• Early GAN specialized in image generation

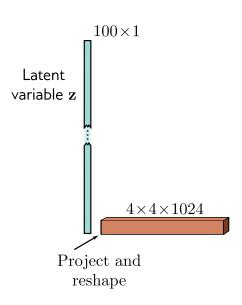


DCGAN -- Generator

• Input is 100D latent variable, z, drawn from a uniform distribution

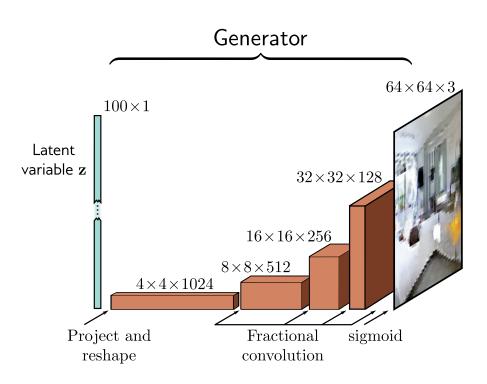


DCGAN -- Generator



- Input is 100D latent variable, z, drawn from a uniform distribution
- Maps to 4x4x1024 via a linear transformation

DCGAN -- Generator

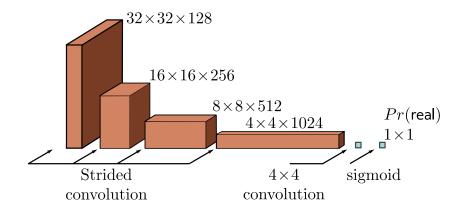


- Input is 100D latent variable, z, drawn from a uniform distribution
- Maps to 4x4x1024 via a linear transformation
- Fractionally strided (stride = 0.5) convolutions to double resolution in each dimension
- Final tanh to limit to [-1,1]
- Rescaled to [0,255]

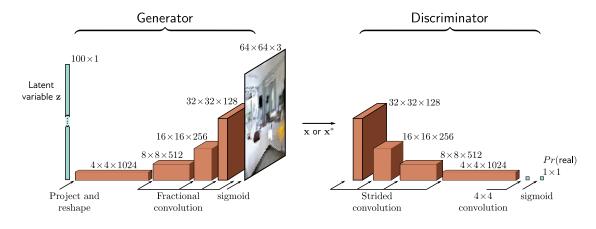
DCGAN -- Discriminator

- Real/Not-Real classifier
- Standard convolution network
- Reduces to 1x1
- Final sigmoid to create output probability of real/not-real





Deep Convolutional (DC) GAN



Trained as in the earlier example.

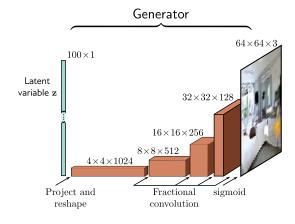
```
for c_gan_iter in range(5): # GAN Iterations

# Run generator to produce synthesized data
x_syn = generator(z, theta)

# Update/train the discriminator
phi = update_discriminator(x_real, x_syn, n_iter_discrim, phi)

# Update/train the generator
theta = update_generator(z, theta, n_iter_gen, phi)
```

Deep Convolutional (DC) GAN



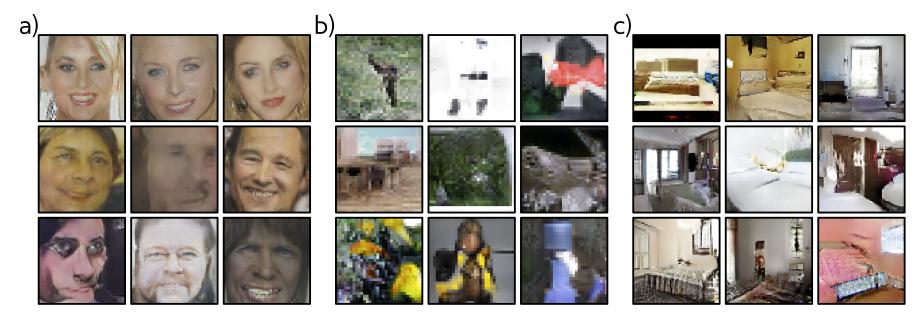
When training is complete

Discard discriminator

Draw new latent variable

Pass through generator

DC GAN Results



Trained on a faces dataset.

Trained on ImageNet dataset.

Trained on LSUN dataset.

The LSUN classification dataset contains 10 scene categories, such as dining room, bedroom, chicken, outdoor church, and so on.

Common Failures with GANs

Mode Dropping: Only represent a subset of the training distribution.

Mode Collapse: Extreme case where the generator mostly ignores the latent variable and collapses all samples to a few points



GAN Performance and Distribution Distance

$$D_{JS}\left[Pr(\mathbf{x}^*) \mid\mid Pr(\mathbf{x})\right] = \underbrace{\frac{1}{2}D_{KL}\left[Pr(\mathbf{x}^*) \mid\mid \frac{Pr(\mathbf{x}^*) + Pr(\mathbf{x})}{2}\right]}_{\text{quality}} + \underbrace{\frac{1}{2}D_{KL}\left[Pr(\mathbf{x}) \mid\mid \frac{Pr(\mathbf{x}^*) + Pr(\mathbf{x})}{2}\right]}_{\text{coverage}}$$

Summary of lengthy analysis in §15.2.1 "Analysis of GAN loss function" Can be rewritten in terms of dissimilarities between *generated* and *real* probability distributions.

Two important takeaways:

Quality: Generated samples need to occur where real samples are

Coverage: Where there is concentrations of real samples, there should be good representation from generated samples

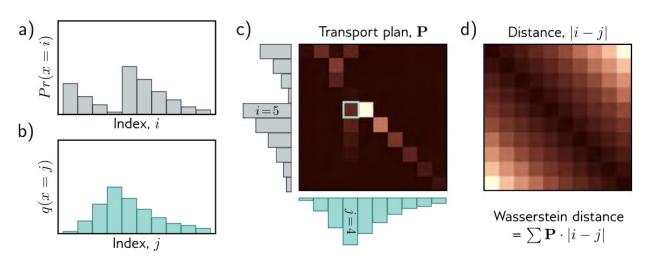
We can conclude that:

- (i) the GAN loss can be interpreted in terms of distances between probability distributions and that
- (ii) the gradient of this distance becomes zero when the generated samples are too easy to distinguish from the real examples.

We need a distance metric with better properties.

Wassertein Distance (for continuous distributions) Earth Mover's Distance (for discrete probabilities)

- The quantity of work required to transport the probability mass from one distribution to create the other.
- Use linear programming to find an optimal "transport plan" that minimizes $\sum \mathbf{P} \cdot |i-j|$

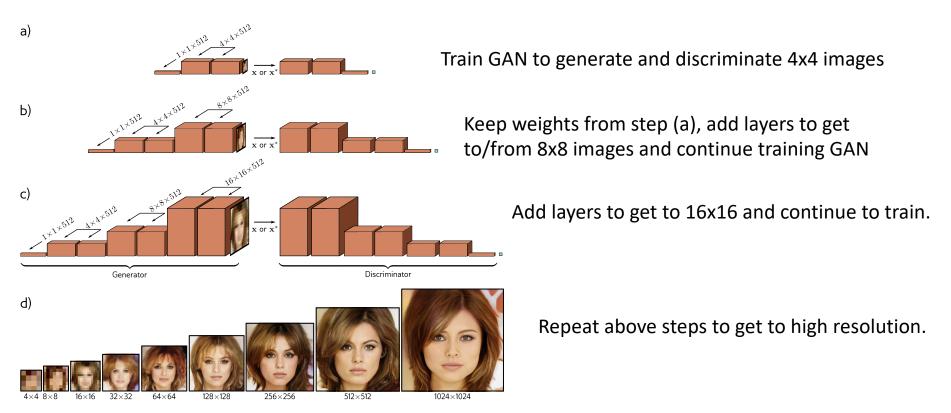


See 15.2.4 Wasserstein distance for discrete distributions, and Notebook 15.2.

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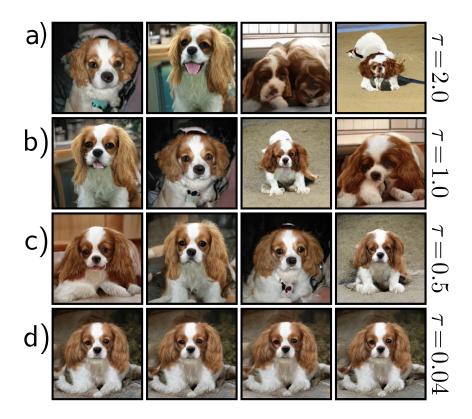
Trick 1: Progressive growing



Trick 2: Minibatch discrimination

- Add in statistics across minibatches of synthesized and real data
- Provided to the discriminator as an additional feature map
- Sends signal back to generator to try to better match real batch statistics

Trick 3: Truncation



- Only choose random values of latent variables that are less than a threshold τ distance from the mean of the latent variables.
- Reduces variation but improves quality

Interpolation

Well-behaved latent space: Every latent variable z should correspond to a plausible data example x and smooth changes in z should correspond to smooth changes in x.





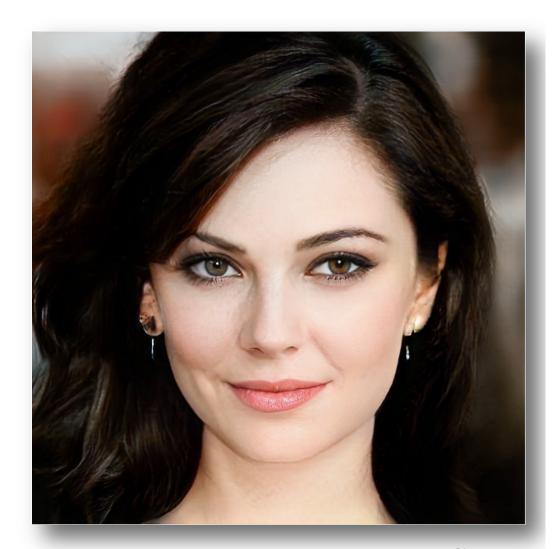






Interpolation

Well-behaved latent space: Every latent variable z should correspond to a plausible data example x and smooth changes in z should correspond to smooth changes in x.



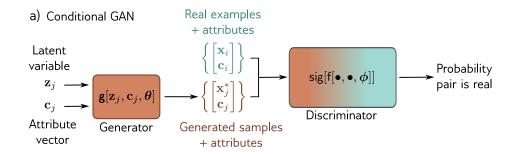
GANs

- GAN loss function
- DCGAN results and problems
- Tricks for improving performance
- Conditional GANs
- Image translation models

Lack of control

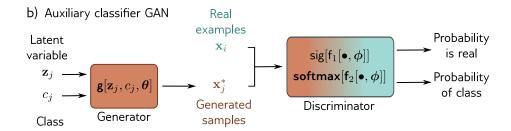
- Cannot specify attributes of generated images from vanilla GANs
- E.g. can't choose ethnicity, age, etc., for a GAN trained on faces.
- Conditional generation models provide this control

Conditional GAN models



- Passes a vector c of attributes to both the generator and discriminator
- Generator learns to generate sample with correct attribute
- Discriminator learns to distinguish between generated sample with target attribute and real sample with real attribute
- The attributes vector can be:
 - Class labels (e.g., for MNIST: digit 0 through 9)
 - One-hot vectors (e.g., [0, 0, 0, 1, 0, 0, 0, 0, 0, 0] for digit 3)
 - Multidimensional real-valued feature vectors (e.g., in face generation, attributes like [Smiling, Male, Wearing Hat] = [1, 0, 1])
 - Text embeddings, segmentation maps, or any structured data that gives control over the generation

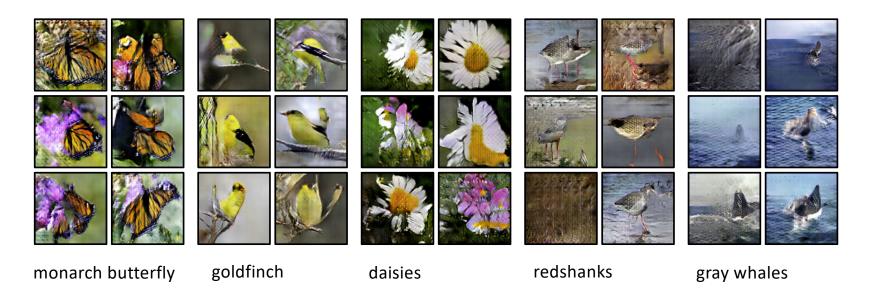
Auxiliary classifier GAN



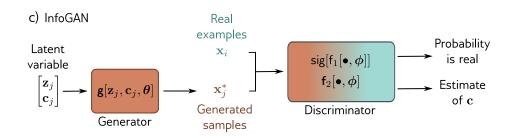
- Similar to Conditional GAN, but use class label instead of attribute vector
- Discriminator produces:
 - Binary real/fake classifier
 - Multi-class classifier

Auxiliary Classifier GAN Generative results

Trained on ImageNet images and classes.



InfoGAN

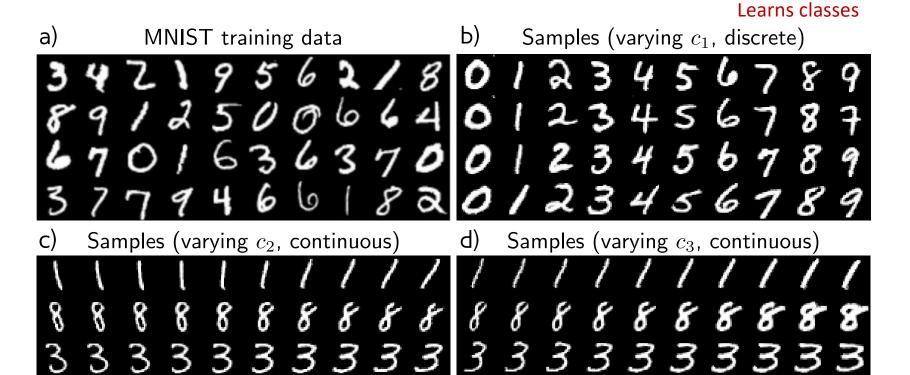


- Add random attribute variables c to generator
- Discriminator *learns to predict* discrete and continuous values of the attributes

What's the difference from CGAN? *

Feature	CGAN	InfoGAN
Conditioning	Explicit (you provide labels)	Implicit (learned latent factors)
Attribute variables	Known (e.g., one-hot class label)	Latent (c), learned to be meaningful
Use of mutual information	×	▼ Enforces c ↔ image correlation
Supervised?	Yes	No (unsupervised / self-supervised)

InfoGAN results



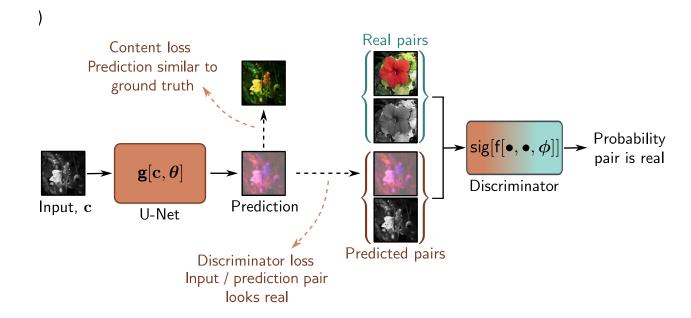
Learns orientation

Learns stroke thickness

GANs

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Image translation: Pix2Pix



- Maps one image to a different style image using a U-Net type model
- Adds a content loss (ℓ_1 norm) to make the input similar to ground truth
- Discriminator fed input/prediction and real/modified pairs to predict real or fake

Image translation: Pix2Pix

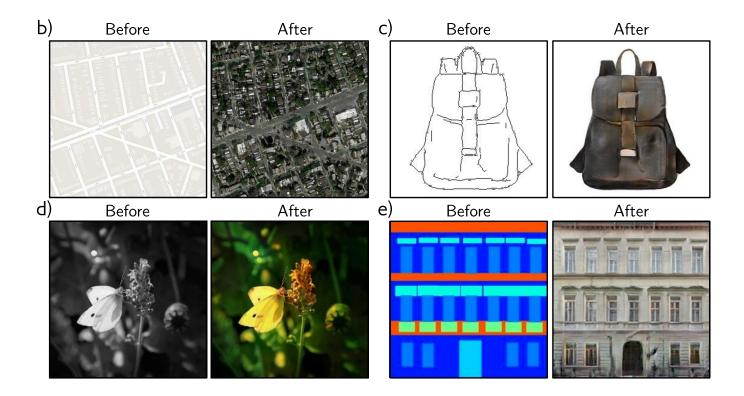


Image translation: SRGAN

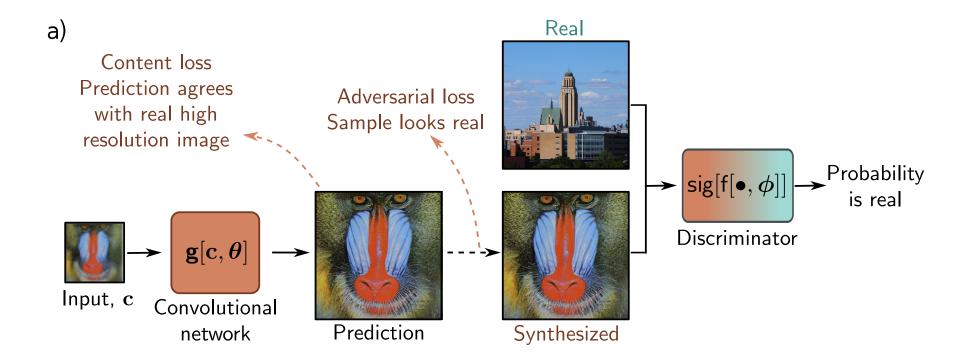


Image translation: SRGAN

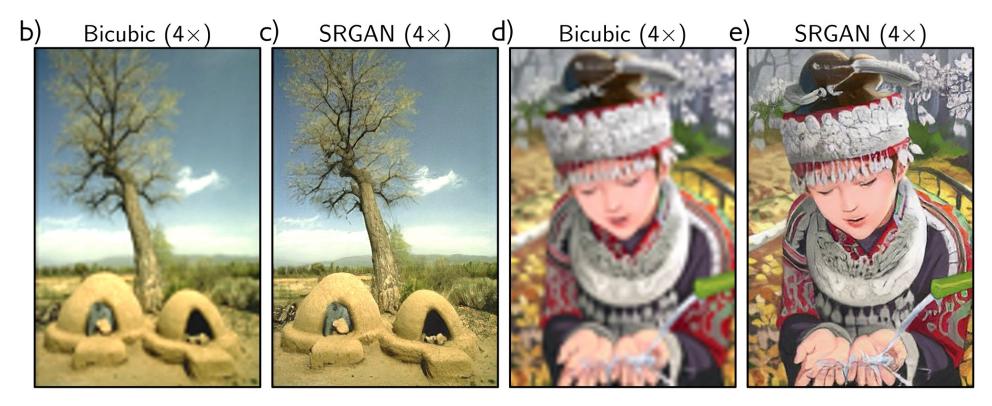
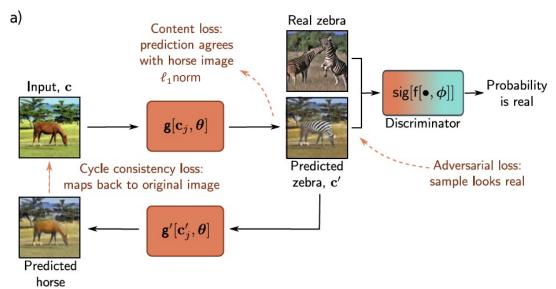


Image translation: CycleGAN



2nd (inverse) model is also trained.

Encourages the generator to be reversible

Doesn't need labeled or matched training pairs.

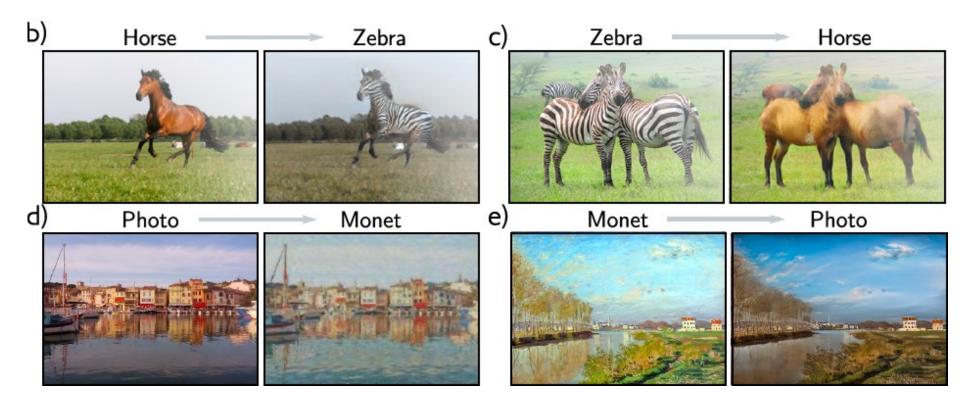
Have two sets of data with distinct styles but no matching pairs.

E.g. Horses and zebras, or photos and Monet paintings

Three losses

- 1. Content loss based on $(\ell_1 \text{norm})$
- 2. Discriminator loss (real vs fake)
- 3. Cycle-consistency loss

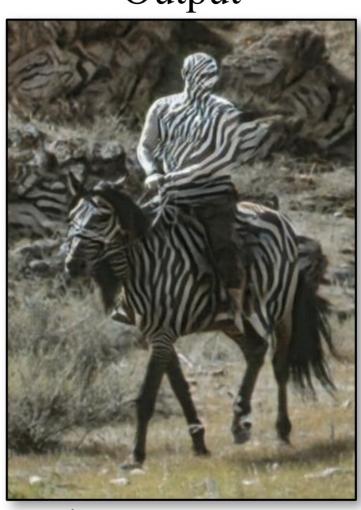
Image translation: CycleGAN



Input





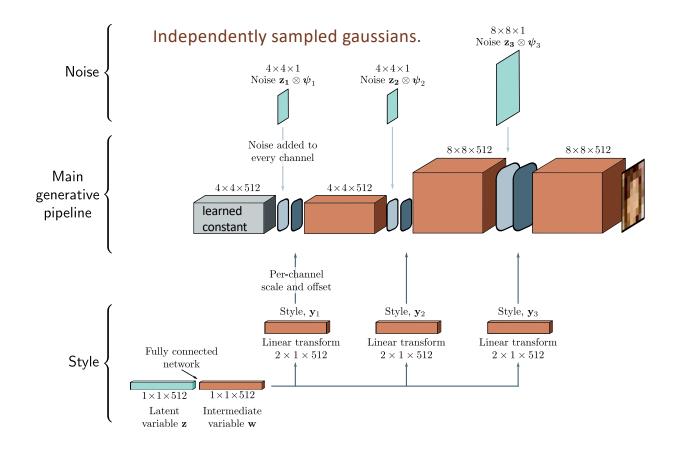


horse → zebra

GANs

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- StyleGAN

Style GAN



Two sets of random latent variables (style, noise) introduced at each scale.

Closer to the output, the finer scale details.

Face Examples:

- Large style changes: face shape, head pose
- Medium-scale changes: facial features
- Fine-scale: hair and skin color
- Noise: hair placement, freckles

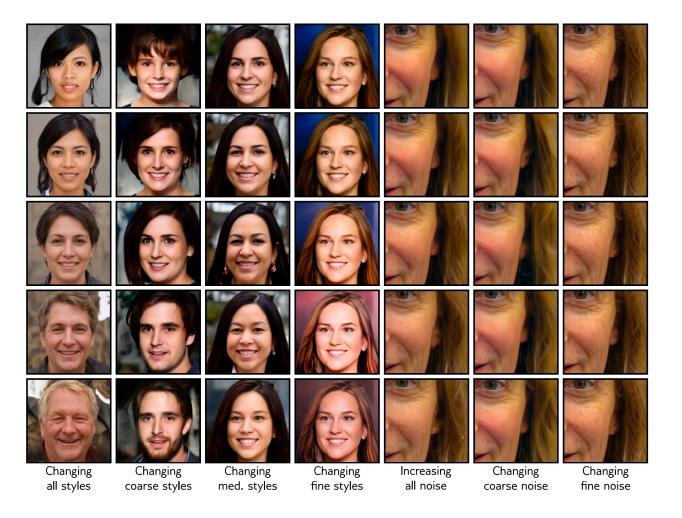


Figure 15.20 StyleGAN results. First four columns show systematic changes in style at various scales. Fifth column shows the effect of increasing noise magnitude. Last two columns show different noise vectors at two different scales.

Upcoming Topics

- VAEs
- Diffusion Models
- Graph Neural Networks
- Reinforcement Learning

Feedback

